

## Convergence of generalized MIT bag models

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### Resum (CAT)

Estudiem propietats espectrals dels models de bossa de l'MIT generalitzats. Aquests són operadors de Dirac  $\{\mathcal{H}_\tau\}_{\tau \in \mathbb{R}}$  actuant en dominis de  $\mathbb{R}^3$  amb condicions de frontera que generen confinament. Estudiant la convergència en el sentit de la resolvent dels operadors  $\mathcal{H}_\tau$  cap als operadors límit  $\mathcal{H}_{\pm\infty}$  quan  $\tau \rightarrow \pm\infty$ , provem que certes propietats espectrals s'hereden al llarg de la parametrització. Aquests resultats, obtinguts parcialment al treball de fi de màster [3], són nous i s'han publicat a [4].

### Abstract (ENG)

We study spectral properties of generalized MIT bag models. These are Dirac operators  $\{\mathcal{H}_\tau\}_{\tau \in \mathbb{R}}$  acting on domains of  $\mathbb{R}^3$  with confining boundary conditions. By studying the resolvent convergence of the operators  $\mathcal{H}_\tau$  towards the limiting operators  $\mathcal{H}_{\pm\infty}$  as  $\tau \rightarrow \pm\infty$ , we prove that certain spectral properties are inherited throughout the parametrization. These results, partially obtained in the master's thesis [3], are new and have been published in [4].

**Keywords:** *Dirac operator, spectral theory, MIT bag model, shape optimization, resolvent convergence.*

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# 1. Introduction

The equation that governs all relativistic quantum processes is called *Dirac equation*. In  $\mathbb{R}^3$ , it is a system of four complex valued linear PDEs of first order in time and space variables. For a spin-1/2 free particle of mass  $m \geq 0$ , one can write the Dirac equation in matricial form as

$$i \frac{\partial}{\partial t} \psi(x, t) = (-i\alpha \cdot \nabla + m\beta) \psi(x, t), \quad x \in \mathbb{R}^3, t \geq 0, \quad (1)$$

where  $\alpha = (\alpha_1, \alpha_2, \alpha_3)$  and  $\beta$  are the so-called *Dirac matrices*,

$$\alpha_j := \begin{pmatrix} 0 & \sigma_j \\ \sigma_j & 0 \end{pmatrix} \text{ for } j = 1, 2, 3, \quad \text{and} \quad \beta := \begin{pmatrix} I_2 & 0 \\ 0 & -I_2 \end{pmatrix} \quad \text{with} \quad I_2 := \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

given by the *Pauli matrices*

$$\sigma_1 := \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 := \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 := \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

and where

$$\psi(x, t) = \begin{pmatrix} \psi_1(x, t) \\ \psi_2(x, t) \\ \psi_3(x, t) \\ \psi_4(x, t) \end{pmatrix} \in \mathbb{C}^4$$

is the so-called *wave function* of the particle. Here,  $\nabla = (\partial_1, \partial_2, \partial_3)$  denotes the gradient in  $\mathbb{R}^3$ , and as customary we use the notation  $\alpha \cdot \nabla = \alpha_1 \partial_1 + \alpha_2 \partial_2 + \alpha_3 \partial_3$ . In Cartesian coordinates, the differential operator in the right-hand side of (1) writes as

$$-i\alpha \cdot \nabla + m\beta = \begin{pmatrix} m & 0 & -i\partial_3 & -i\partial_1 - \partial_2 \\ 0 & m & -i\partial_1 + \partial_2 & i\partial_3 \\ -i\partial_3 & -i\partial_1 - \partial_2 & -m & 0 \\ -i\partial_1 + \partial_2 & i\partial_3 & 0 & -m \end{pmatrix}.$$

Notice that if one diagonalizes this operator (taking into account boundary conditions), one can solve the time-dependent Dirac equation (1) using the method of separation of variables. Hence, the time-dependent problem reduces to a stationary eigenvalue problem of the form

$$\begin{cases} (-i\alpha \cdot \nabla + m\beta)\varphi = \lambda\varphi & \text{in } \Omega, \\ \text{boundary conditions for } \varphi & \text{on } \partial\Omega, \end{cases}$$

where  $\Omega \subseteq \mathbb{R}^3$  is the domain where the particle evolves,  $\varphi: \Omega \rightarrow \mathbb{C}^4$ , and the boundary conditions typically depend on physical constraints. The eigenvalues  $\lambda$  provide relevant information to understand the evolution of the system, hence this motivates their study and understanding. This is what we do in this work, for some prescribed boundary conditions.

## 2. Generalized MIT bag models

Dirac operators acting on domains  $\Omega \subset \mathbb{R}^3$  with  $C^2$  boundary are used in relativistic quantum mechanics to describe particles that are confined in a box. The so-called *MIT bag model* is a very remarkable example, which was introduced in the 1970s as a simplified model to study confinement of quarks in hadrons (like quarks up and down inside a proton). It is the operator  $\mathcal{H}_0$  defined by

$$\begin{aligned}\text{Dom}(\mathcal{H}_0) &:= \{\varphi \in H^1(\Omega) \otimes \mathbb{C}^4 : \varphi = -i\beta(\alpha \cdot \nu)\varphi \text{ on } \partial\Omega\}, \\ \mathcal{H}_0\varphi &:= (-i\alpha \cdot \nabla + m\beta)\varphi \quad \text{for all } \varphi \in \text{Dom}(\mathcal{H}_0).\end{aligned}$$

Here,  $\nu$  denotes the outward unit normal vector on  $\partial\Omega$ , and  $H^1(\Omega)$  is the standard Sobolev space of first weak derivatives in  $L^2(\Omega)$ , namely

$$H^1(\Omega) := \{f \in L^2(\Omega) : \|f\|_{H^1(\Omega)} < \infty\}, \quad \text{where} \quad \|f\|_{H^1(\Omega)} := (\|f\|_{L^2(\Omega)}^2 + \|\nabla f\|_{L^2(\Omega)}^2)^{1/2}.$$

For the sake of notation, in the sequel we shall denote  $H^1(\Omega) \otimes \mathbb{C}^4$  as  $H^1(\Omega)^4$ , and similarly  $L^2(\Omega) \otimes \mathbb{C}^4$  as  $L^2(\Omega)^4$ .

Motivated by some physical considerations, in [1] it was studied the family of Dirac operators with confining boundary conditions defined for  $\tau \in \mathbb{R}$  by

$$\begin{aligned}\text{Dom}(\mathcal{H}_\tau) &:= \{\varphi \in H^1(\Omega)^4 : \varphi = i(\sinh \tau - \cosh \tau \beta)(\alpha \cdot \nu)\varphi \text{ on } \partial\Omega\}, \\ \mathcal{H}_\tau\varphi &:= (-i\alpha \cdot \nabla + m\beta)\varphi \quad \text{for all } \varphi \in \text{Dom}(\mathcal{H}_\tau).\end{aligned}\tag{2}$$

Notice that the MIT bag model corresponds to  $\tau = 0$ —this was the main reason in [1] to call the operators  $\mathcal{H}_\tau$  in (2) *generalized MIT bag models*. For  $\tau \in \mathbb{R}$ , the operator  $\mathcal{H}_\tau$  is self-adjoint in  $L^2(\Omega)^4$  by [2, Proposition 5.15]. Moreover, from [1, Lemma 1.2] we know that its spectrum  $\sigma(\mathcal{H}_\tau)$  is contained in  $\mathbb{R} \setminus [-m, m]$  and is purely discrete. In particular, the essential spectrum  $\sigma_{\text{ess}}(\mathcal{H}_\tau)$  is empty for all  $\tau \in \mathbb{R}$ . Furthermore,  $\lambda \in \sigma(\mathcal{H}_\tau)$  if and only if  $-\lambda \in \sigma(\mathcal{H}_{-\tau})$ . Thanks to this odd symmetry, one can reduce the study of the spectral properties of the generalized MIT bag models to the study of  $\sigma(\mathcal{H}_\tau) \cap (m, +\infty)$  for  $\tau \in \mathbb{R}$ .

A spectral study of the mapping  $\tau \mapsto \mathcal{H}_\tau$  was carried out in [1], where the following result was shown. In its statement,  $-\Delta_D$  denotes the self-adjoint realization of the Dirichlet Laplacian in  $L^2(\Omega)$ , and  $\sigma(-\Delta_D)$  denotes its spectrum.

**Theorem 2.1** ([1, Theorem 1.4]). *The eigenvalues of  $\mathcal{H}_\tau$  can be parametrized by increasing real analytic functions of  $\tau$ . Moreover, if  $\tau \mapsto \lambda(\tau) \in \sigma(\mathcal{H}_\tau) \cap (m, +\infty)$  is a continuous function defined on an interval  $I \subset \mathbb{R}$ , then the following holds:*

- (i) *If  $I = (-\infty, \tau_0)$  for some  $\tau_0 \in \mathbb{R}$ , then  $\lambda(-\infty) := \lim_{\tau \downarrow -\infty} \lambda(\tau)$  exists and belongs to  $[m, +\infty)$ . In addition,*

$$\lambda(-\infty) = \begin{cases} m & \text{if } \lambda(\tau) \leq \sqrt{\min \sigma(-\Delta_D) + m^2} \text{ for some } \tau \in I, \\ \sqrt{\lambda_D + m^2} & \text{for some } \lambda_D \in \sigma(-\Delta_D) \text{ otherwise.} \end{cases}$$

- (ii) *If  $I = (\tau_0, +\infty)$  for some  $\tau_0 \in \mathbb{R}$ , then  $\lambda(+\infty) := \lim_{\tau \uparrow +\infty} \lambda(\tau)$  exists as an element of the set  $(m, +\infty]$ . In addition, if  $\lambda(+\infty) < +\infty$ , then*

$$\lambda(+\infty) = \sqrt{\lambda_D + m^2} \quad \text{for some } \lambda_D \in \sigma(-\Delta_D).$$

This result establishes a clear connection between the spectrum of the Dirac operator  $\mathcal{H}_\tau$  as  $\tau \rightarrow \pm\infty$  and the spectrum of the Dirichlet Laplacian  $-\Delta_D$ . In [1, Remark 4.4] it was left as an open question to investigate which should be the limiting operators of  $\mathcal{H}_\tau$  as  $\tau \rightarrow \pm\infty$ , and in which sense the convergence holds true. The answer was developed in the master's thesis [3] and then published in [4]. In the present work, we review the results obtained.

### 3. Convergence as $\tau$ moves in $\mathbb{R}$

In order to guess who the limiting operators might be, we first make an observation. Writing  $\varphi \in \text{Dom}(\mathcal{H}_\tau)$  in components<sup>1</sup> as  $\varphi = (u, v)^\top$ , the boundary condition

$$\varphi = i(\sinh \tau - \cosh \tau \beta)(\alpha \cdot \nu)\varphi$$

rewrites as  $u = -ie^{-\tau}(\sigma \cdot \nu)v$ . Formally, this equation forces  $u$  and  $v$  to vanish on  $\partial\Omega$  in the limits  $\tau \uparrow +\infty$  and  $\tau \downarrow -\infty$ , respectively. This leads to consider the so-called *Dirac operators with zigzag type boundary conditions* studied in [6], which are defined by

$$\begin{aligned} \text{Dom}(\mathcal{H}_{+\infty}) &:= \{\varphi = (u, v)^\top : u \in H_0^1(\Omega)^2, v \in L^2(\Omega)^2, \alpha \cdot \nabla \varphi \in L^2(\Omega)^4\}, \\ \mathcal{H}_{+\infty} \varphi &:= (-i\alpha \cdot \nabla + m\beta)\varphi \quad \text{for all } \varphi \in \text{Dom}(\mathcal{H}_{+\infty}) \end{aligned} \quad (3)$$

—here  $H_0^1(\Omega)^2$  is the subspace of functions in  $H^1(\Omega)^2$  with zero trace—, and

$$\begin{aligned} \text{Dom}(\mathcal{H}_{-\infty}) &:= \{\varphi = (u, v)^\top : u \in L^2(\Omega)^2, v \in H_0^1(\Omega)^2, \alpha \cdot \nabla \varphi \in L^2(\Omega)^4\}, \\ \mathcal{H}_{-\infty} \varphi &:= (-i\alpha \cdot \nabla + m\beta)\varphi \quad \text{for all } \varphi \in \text{Dom}(\mathcal{H}_{-\infty}). \end{aligned} \quad (4)$$

From [6, Theorem 1.1 and Lemma 3.2] we know that  $\mathcal{H}_{\pm\infty}$  are self-adjoint in  $L^2(\Omega)^4$  and that their spectra are characterized by the spectrum of the Dirichlet Laplacian. More specifically,

$$\begin{aligned} \sigma(\mathcal{H}_{+\infty}) &= \{-m\} \cup \{\pm\sqrt{\lambda_D + m^2} : \lambda_D \in \sigma(-\Delta_D)\}, \\ \sigma(\mathcal{H}_{-\infty}) &= \{m\} \cup \{\pm\sqrt{\lambda_D + m^2} : \lambda_D \in \sigma(-\Delta_D)\}, \end{aligned} \quad (5)$$

and  $\mp m \in \sigma_{\text{ess}}(\mathcal{H}_{\pm\infty})$  is an eigenvalue of infinite multiplicity.

Observe that the description (5) of  $\sigma(\mathcal{H}_{\pm\infty})$  is in agreement with the limiting spectrum stated in Theorem 2.1. This heuristically motivates to propose the operators  $\mathcal{H}_{\pm\infty}$  defined in (3) and (4) as the limiting operators of  $\mathcal{H}_\tau$ , as  $\tau \rightarrow \pm\infty$ . To see in which sense the convergence holds true, we study the resolvent convergence of  $\mathcal{H}_\tau$  to  $\mathcal{H}_{\pm\infty}$  as  $\tau \rightarrow \pm\infty$ ; see [8, Chapter 8] for a survey on resolvent convergence.

**Theorem 3.1** ([4, Theorem 1.2]). *Given  $\tau \in \mathbb{R}$ , let  $\mathcal{H}_\tau$  be the operator defined in (2). Let  $\mathcal{H}_{+\infty}$  and  $\mathcal{H}_{-\infty}$  be the operators defined in (3) and (4), respectively. Then,  $\mathcal{H}_\tau$  converges to  $\mathcal{H}_{\pm\infty}$  in the strong resolvent sense as  $\tau \rightarrow \pm\infty$ . That is, for every  $f \in L^2(\Omega)^4$*

$$\lim_{\tau \rightarrow \pm\infty} \|((\mathcal{H}_{\pm\infty} - \lambda)^{-1} - (\mathcal{H}_\tau - \lambda)^{-1})f\|_{L^2(\Omega)^4} = 0 \quad \text{for all } \lambda \in \mathbb{C} \setminus \mathbb{R}. \quad (6)$$

<sup>1</sup>The notation  $\varphi = (u, v)^\top$  refers to the decomposition of  $\varphi: \Omega \rightarrow \mathbb{C}^4$  in upper and lower components, that is, if  $\varphi = (\varphi_1, \varphi_2, \varphi_3, \varphi_4)^\top$  with  $\varphi_j: \Omega \rightarrow \mathbb{C}$  for  $j = 1, 2, 3, 4$ , then  $u = (\varphi_1, \varphi_2)^\top$  and  $v = (\varphi_3, \varphi_4)^\top$ .

A proof of this theorem based on directly estimating the difference of resolvents in (6) can be found in [3, Section 3.2], and an alternative proof based on the notion of strong graph limit [8, Definition in p. 293] can be found both in [3, Section 3.1] and in [4, Section 2]. An immediate consequence of this theorem is the following result, which is an improvement of item (ii) in Theorem 2.1 for the first positive eigenvalue of  $\mathcal{H}_\tau$ .

**Corollary 3.2** ([4, Corollary 1.3]). *For every  $\tau \in \mathbb{R}$ , denote the first positive eigenvalue of  $\mathcal{H}_\tau$  in  $\Omega$  by  $\lambda_\Omega(\tau) := \min(\sigma(\mathcal{H}_\tau) \cap (m, +\infty))$ . Then,  $\lim_{\tau \uparrow +\infty} \lambda_\Omega(\tau) = \sqrt{\Lambda_\Omega + m^2}$ , where  $\Lambda_\Omega := \min \sigma(-\Delta_D)$  is the first eigenvalue of the Dirichlet Laplacian in  $\Omega$ .*

It is remarkable to point out that Theorem 3.1 does not ensure that the convergence in (6) is uniform in the unit ball of  $L^2(\Omega)^4$ , but only pointwise for every  $f \in L^2(\Omega)^4$ . Actually, we now justify that the convergence can not be uniform—in the language of resolvents, this means that  $\mathcal{H}_\tau$  can not converge to  $\mathcal{H}_{\pm\infty}$  in the norm resolvent sense as  $\tau \rightarrow \pm\infty$ ; see [8, Definition in p. 284] or Theorem 3.4 below—indeed, if there was convergence in the norm resolvent sense, [9, Satz 9.24] would lead to  $\lim_{\tau \rightarrow \pm\infty} \sigma_{\text{ess}}(\mathcal{H}_\tau) = \sigma_{\text{ess}}(\mathcal{H}_{\pm\infty})$ , but this is impossible since  $\sigma_{\text{ess}}(\mathcal{H}_{\pm\infty}) \neq \emptyset$ —recall that  $\mp m$  is an eigenvalue of infinite multiplicity—and  $\sigma_{\text{ess}}(\mathcal{H}_\tau) = \emptyset$  for all  $\tau \in \mathbb{R}$ —because  $\sigma(\mathcal{H}_\tau)$  is purely discrete.

This argument shows that the essential eigenvalue  $\mp m \in \sigma_{\text{ess}}(\mathcal{H}_{\pm\infty})$  prevents  $\mathcal{H}_\tau$  from converging to  $\mathcal{H}_{\pm\infty}$  in the norm resolvent sense. It is then natural to ask whether the norm resolvent convergence could be achieved if, in some sense, the study was restricted to  $\sigma(\mathcal{H}_{\pm\infty}) \setminus \{\mp m\}$ . An affirmative answer holds true in the following sense. Denote

$$\begin{aligned} \ker(\mathcal{H}_{\pm\infty} \pm m) &:= \{\psi \in \text{Dom}(\mathcal{H}_{\pm\infty}) \subset L^2(\Omega)^4 : (\mathcal{H}_{\pm\infty} \pm m)\psi = 0\}, \\ \ker(\mathcal{H}_{\pm\infty} \pm m)^\perp &:= \{\varphi \in L^2(\Omega)^4 : \langle \varphi, \psi \rangle_{L^2(\Omega)^4} = 0 \text{ for all } \psi \in \ker(\mathcal{H}_{\pm\infty} \pm m)\}. \end{aligned}$$

Since  $\ker(\mathcal{H}_{\pm\infty} \pm m)^\perp$  is a closed subspace of  $L^2(\Omega)^4$ , the orthogonal projection

$$P_\pm : L^2(\Omega)^4 \rightarrow \ker(\mathcal{H}_{\pm\infty} \pm m)^\perp \subset L^2(\Omega)^4 \quad (7)$$

is a well-defined bounded self-adjoint operator in  $L^2(\Omega)^4$ . Moreover, from (5) we know that  $\ker(\mathcal{H}_{\pm\infty} \pm m)^\perp \neq \{0\}$  and, thus,  $\|P_\pm\|_{L^2(\Omega)^4 \rightarrow L^2(\Omega)^4} = 1$ .

**Theorem 3.3** ([4, Theorem 1.4]). *Given  $\tau \in \mathbb{R}$ , let  $\mathcal{H}_\tau$  be the operator defined in (2). Let  $\mathcal{H}_{+\infty}$  and  $\mathcal{H}_{-\infty}$  be the operators defined in (3) and (4), respectively. Then,*

$$\lim_{\tau \rightarrow \pm\infty} \|P_\pm((\mathcal{H}_{\pm\infty} - \lambda)^{-1} - (\mathcal{H}_\tau - \lambda)^{-1})\|_{L^2(\Omega)^4 \rightarrow L^2(\Omega)^4} = 0 \quad \text{for all } \lambda \in \mathbb{C} \setminus \mathbb{R},$$

where  $P_\pm$  are the orthogonal projections defined in (7).

A proof of this theorem can be found in [4, Section 3]. As we mentioned after Corollary 3.2, the difference of resolvents  $(\mathcal{H}_{\pm\infty} - \lambda)^{-1} - (\mathcal{H}_\tau - \lambda)^{-1}$  does not converge to zero in operator norm as  $\tau \rightarrow \pm\infty$ . However, if we write this difference as

$$(\mathcal{H}_{\pm\infty} - \lambda)^{-1} - (\mathcal{H}_\tau - \lambda)^{-1} = (P_\pm + (1 - P_\pm))((\mathcal{H}_{\pm\infty} - \lambda)^{-1} - (\mathcal{H}_\tau - \lambda)^{-1}),$$

then Theorem 3.3 shows that the eigenvalue  $\mp m$  is indeed the only obstruction for having norm resolvent convergence of  $\mathcal{H}_\tau$  to  $\mathcal{H}_{\pm\infty}$  as  $\tau \rightarrow \pm\infty$ , since  $(1 - P_\pm)(L^2(\Omega)^4) = \ker(\mathcal{H}_{\pm\infty} \pm m)$ .

Although the main interest is the study of the convergence of  $\mathcal{H}_\tau$  in a resolvent sense as  $\tau \rightarrow \pm\infty$ , for the sake of completeness we also study the convergence when  $\tau$  approaches a finite value  $\tau_0 \in \mathbb{R}$ .

**Theorem 3.4.** *Given  $\tau \in \mathbb{R}$ , let  $\mathcal{H}_\tau$  be the operator defined in (2). Then, for every  $\tau_0 \in \mathbb{R}$ ,  $\mathcal{H}_\tau$  converges to  $\mathcal{H}_{\tau_0}$  in the norm resolvent sense as  $\tau \rightarrow \tau_0$ . That is,*

$$\lim_{\tau \rightarrow \pm\infty} \|(\mathcal{H}_{\pm\infty} - \lambda)^{-1} - (\mathcal{H}_\tau - \lambda)^{-1}\|_{L^2(\Omega)^4 \rightarrow L^2(\Omega)^4} = 0 \quad \text{for all } \lambda \in \mathbb{C} \setminus \mathbb{R}.$$

A proof of this theorem based on the fact that the resolvent operator  $(\mathcal{H}_\tau - \lambda)^{-1}$  is real analytic in  $\tau$  in a neighborhood of  $\tau_0$ —given by [1, Lemma 3.1]— can be found in [3, Section 3.4]. An alternative proof based on estimating the operator norm of the difference of resolvents can be found in [4, Section 4].

## 4. Shape optimization

A hot open problem in spectral geometry is to prove that the first positive eigenvalue  $\lambda_\Omega(\tau)$  of  $\mathcal{H}_\tau$  is minimal, among all bounded  $C^2$  domains  $\Omega \subset \mathbb{R}^3$  with prescribed volume, when  $\Omega$  is a ball; see [1, Conjecture 1.8]. The analogous statement for the first eigenvalue of the Dirichlet Laplacian,  $\Lambda_\Omega := \min \sigma(-\Delta_D)$ , is known to be true, and it is the so-called *Faber–Krahn inequality*—proven independently by Faber in 1923 and Krahn in 1925 [5, 7], asserting that  $\Lambda_\Omega > \Lambda_B$  whenever  $\Omega \subset \mathbb{R}^3$  is a bounded domain with Lipschitz boundary different from a ball  $B$  with the same volume.

As an application of the results obtained in [3, 4] and presented in this paper, we conclude with a statement supporting (but not proving) the optimality of the ball for  $\lambda_\Omega(\tau)$ . On the one hand,  $\tau \mapsto \lambda_\Omega(\tau)$  is an increasing and continuous function in  $\mathbb{R}$ , that converges to  $m$  as  $\tau \rightarrow -\infty$ —by Theorem 2.1—and that converges to  $\sqrt{\Lambda_\Omega + m^2}$  as  $\tau \uparrow +\infty$ , by Corollary 3.2; in particular,  $\tau \mapsto \lambda_\Omega(\tau)$  is bijective from  $\mathbb{R}$  to  $(m, \sqrt{\Lambda_\Omega + m^2})$ . On the other hand, if  $\Omega$  is not a ball, then by the Faber–Krahn inequality we have  $(m, \sqrt{\Lambda_B + m^2}) \subsetneq (m, \sqrt{\Lambda_\Omega + m^2})$ . Therefore, there exists a large enough  $\tau_\Omega \in \mathbb{R}$  such that  $\lambda_\Omega(\tau) \in (\sqrt{\Lambda_B + m^2}, \sqrt{\Lambda_\Omega + m^2})$  for all  $\tau \geq \tau_\Omega$ . Since  $\lambda_B(\tau) < \sqrt{\Lambda_B + m^2}$  for all such  $\tau$ —by Theorem 2.1 and Corollary 3.2—, we get the following.

**Proposition 4.1.** *Let  $\Omega \subset \mathbb{R}^3$  be a bounded domain with  $C^2$  boundary, and let  $B$  be a ball such that  $|\Omega| = |B|$ . If  $\Omega$  is not a ball, then there exists  $\tau_\Omega \in \mathbb{R}$  such that  $\lambda_B(\tau) < \lambda_\Omega(\tau)$  for all  $\tau \geq \tau_\Omega$ .*

It is very remarkable to say that the large enough  $\tau_\Omega \in \mathbb{R}$  ensuring the optimality of the ball for the first positive eigenvalue  $\lambda_\Omega(\tau)$  in the regime  $\tau \geq \tau_\Omega$  depends itself on  $\Omega$ . Hence, from Proposition 4.1 one can *not* ensure that there exists a large enough  $\tau_\star \in \mathbb{R}$  for which  $\lambda_\Omega(\tau) > \lambda_B(\tau)$  for all  $\tau \geq \tau_\star$  and every bounded  $C^2$  domain  $\Omega$  different from a ball  $B$  with the same volume. To prove or disprove the existence of such  $\tau_\star$  also remains as an open and challenging problem.

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