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## The branching order and phylogenetic placement of species from completed bacterial genomes, based on conserved indels found in various proteins

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**Abstract** The presence of shared conserved inserts and deletions (indels or signature sequences) in proteins provides a powerful means for understanding the evolutionary relationships among the Bacteria. Using such indels, all of the main groups within the Bacteria can be defined in clear molecular terms and it has become possible to deduce that they branched from a common ancestor in the following order: Low G+C Gram-positive → High G+C Gram-positive → *Deinococcus-Thermus* → Cyanobacteria → Spirochetes → *Aquifex-Chlamydia-Cytophaga* → Proteobacteria-1 ( $\epsilon$ ,  $\delta$ ) → Proteobacteria-2 ( $\alpha$ ) → Proteobacteria-3 ( $\beta$ ) → Proteobacteria -4 ( $\gamma$ ). The usefulness of this approach for understanding bacterial phylogeny was examined here using sequence data from various completed bacterial genomes. By using 12 indels in highly conserved and widely represented proteins, the species from all 41 completed bacterial genomes were assigned to different groups; and the observed distribution of these indels in different species was then compared with that predicted by the signature sequence model. The presence or absence of these indels in various proteins in different bacteria followed the pattern exactly as predicted; and, in more than 450 observations, no exceptions or contradictions in the placement of indels were observed. These results provide strong evidence that lateral gene transfer events have not affected the genes containing these indels to any significant extent. The phylogenetic placement of bacteria into different groups based on signature sequences also showed an excellent correlation with the 16 S rRNA with 39 of the 41 species assigned to the same group by both methods. These results strongly vindicate the usefulness of the signature

sequence approach to understanding phylogeny within the Bacteria and show that it provides a reliable and internally consistent means for the placement of bacterial species into different groups and for determining the relative branching order of the groups.

**Keywords** Indels · Signature sequences · Bacterial genomes · Lateral gene transfer · Phylogeny

### Introduction

Our current understanding of evolutionary relationships among the Bacteria, which comprise the vast majority of the known prokaryotes, is almost entirely based on the 16 S rRNA sequences [4, 40, 51]. Based on oligonucleotide signatures and the branching pattern of bacteria in the 16 S rRNA trees, 11 main groups (or divisions) among the Bacteria were originally proposed [69, 70, 72]. These included: *Thermotogales*, green nonsulfur bacteria, *Deinococci* and relatives, *Spirochetes*, green sulfur bacteria, Cyanobacteria, Gram-positive bacteria, purple bacteria and relatives (*Proteobacteria*), *Bacteriodes-Flavobacteria-Cytophaga* and relatives, *Planctomyces* and relatives, and chlamydiae. At the time when these divisions were proposed, the rRNA sequence database was quite limited and clear distinctions between these groups was possible on the basis of oligonucleotide signatures or long “naked” branches that separated these groups in the trees [69, 72]. However, in the past 15–20 years, as the sequence database for rRNA has rapidly expanded [42], distinguishing between these divisions on the basis of either of these criteria has become increasingly difficult and imprecise [40, 41]. In recent years, in addition to the above groups, many additional groups or divisions within the Bacteria have been suggested (i.e., *Aquificales*, *Desulfurobacterium*, *Dictyoglomus*, *Fibrobacter*, *Flexistipes*, *Fusobacteria*, *Holophaga*, *Nitrospira*, *Verrucomicrobium*) [40, 41]. In the absence of well defined criteria for the major divisions, it is unclear how many of these newly

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described groups actually comprise new divisions within the Bacteria. To place the bacterial phylogeny on a firmer base, it is essential to develop clear molecular criteria by which the different major groups (phyla or divisions) within the Bacteria can be defined and distinguished from each other. Another issue central to bacterial phylogeny is to determine how the different main groups or divisions within the Bacteria are related to each other and how they branched from a common ancestor [21]. Such relationships are not resolved in phylogenetic trees based on rRNA or various proteins [6, 11, 40, 51, 69]. This has led to a growing acceptance of the notion that such relationships are unresolvable and that all the main groups within the Bacteria probably branched off simultaneously from the common ancestor [11, 40, 41, 71].

We recently described a new approach that makes use of conserved inserts and deletions (referred to as indels or signature sequences) found in various proteins, which provides valuable information regarding the issues that are not resolved in the rRNA trees [19, 23]. Based simply on the presence or absence of specific signature sequences, all of the major groups within the Bacteria can be clearly defined and distinguished from each other. Further, this approach also permits a logical deduction of the relative branch order of different main groups from a common ancestor [19, 23, 26], which has been a major impediment in understanding bacterial phylogeny. In the past few years, the entire genomes of many bacterial species have been sequenced, representing all major groups within the Bacteria (<http://www.ncbi.nlm.nih.gov:80/PMGifs/Genomes/micr.html>). This provides us with a valuable means to test in an objective manner the usefulness and validity of the signature sequence approach for determining the phylogenetic placement and branching order of the bacterial species. Results of these studies presented here strongly evidence that this approach provides a reliable and internally consistent means for the phylogenetic placement of species into different groups and for determining their relative branching order. Importantly, the assignment of bacterial species into different groups using this new approach shows a very high degree of correlation to that based on the 16 S rRNA trees. Therefore, this new approach is not contradictory to the 16 S rRNA analyses but complements the latter studies in important respects, by providing information regarding issues that are not resolved in such phylogenies.

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## Results and Discussion

### Bacterial genomes and signature sequence

The information for various bacterial species whose complete genomes have been sequenced to date is given in Table 1. The sequence information is presently

available for 41 bacterial genomes, representing all of the main groups within the Bacteria including:  $\alpha$ -,  $\beta$ -,  $\gamma$ -, and  $\epsilon$ -Proteobacteria, *Aquificales*, *Chlamydia*, Cyanobacteria, *Deinococcus-Thermus* group, Spirochetes, *Thermotoga*, several members of the low G+C Gram-positive bacteria including the mycoplasmas, and high G+C Gram-positive species (<http://www.ncbi.nlm.nih.gov/PMGifs/Genomes/micr.html>). In our earlier work, a large number of sequence signatures in different proteins were identified [19, 23]. Some of these signatures are specific for the particular groups and they provide no information regarding relationships to other groups [19]. However, of the identified signatures, a group of 12 signatures has proven most useful for distinguishing between the major groups within the Bacteria and for determining their branch order (Fig. 1). The sequence information for these signatures for various bacterial species whose genomes have been sequenced was obtained by basic local alignment search tool (BLAST) searches (<http://www.ncbi.nlm.nih.gov>) on either the non-redundant database or on individual genome sequences.

### The rationale for using conserved indels for phylogenetic studies

The rationale for using conserved indels for evolutionary studies has been discussed in detail in earlier work [19, 20]. When a conserved indel of defined length and sequence (referred to as a signature sequence) that is flanked by conserved regions to ensure its reliability is found at the same position within a given protein (or gene) from different species, then the simplest and most parsimonious explanation for this observation is that the indel was introduced only once during the course of evolution and then passed on to all descendants [19, 56]. Thus, based on the presence or absence of a signature, the species containing or lacking the signature can be divided into two unambiguous groups. The well defined indels in different genes/proteins also provide useful milestones for evolutionary events, since all species emerging from the ancestral cell in which a given indel was first introduced are expected to contain the indel, whereas all species that existed prior to this event or which did not evolve from this ancestor will not contain the indel [19, 20]. Thus, by using well defined indels in proteins that were introduced at various stages in evolutionary history, it should be possible to deduce the branching order of different groups of species from a common ancestor.

### Testing the signature sequence model using completed bacterial genomes

Figure 1 shows the signature sequences in proteins that have proven most useful for distinguishing the major groups within the Bacteria and to determine their relative

**Table 1** Details of bacterial species whose genomes have been sequenced

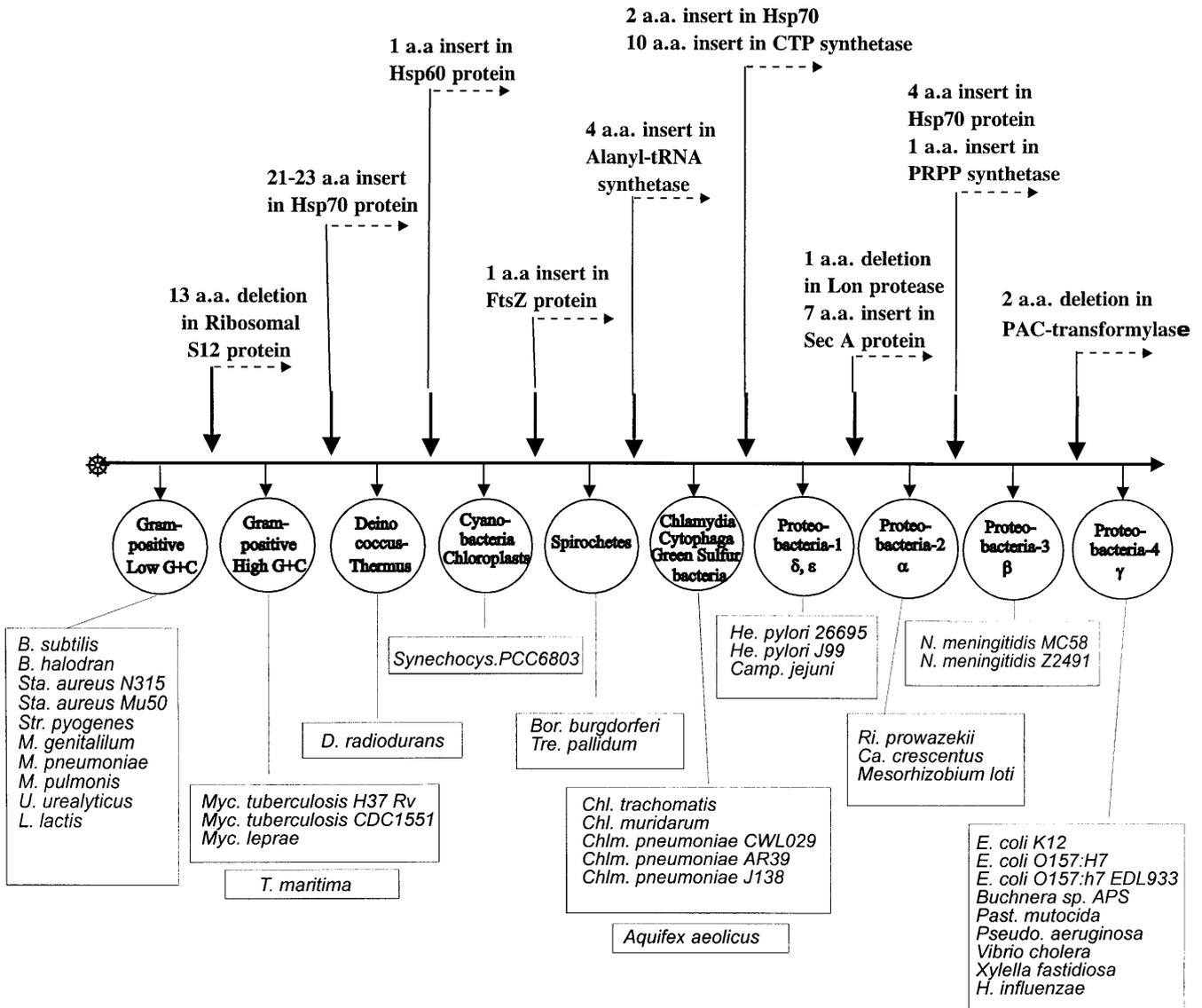
Bacterial species	Accession number	Bacterial group/division	Reference
<i>Aquifex aeolicus</i>	NC000918	Aquificales	[10]
<i>Bacillus halodurans</i> C-125	NC002570	Low G + C Gram-positive	[65]
<i>B. subtilis</i>	NC000964	Low G + C Gram-positive	[36]
<i>Borrelia burgdorferi</i>	NC001318	Spirochaetales	[14]
<i>Buchnera</i> sp. APS	NC002528	$\gamma$ -Proteobacteria	[59]
<i>Campylobacter jejuni</i>	NC002163	$\epsilon$ -Proteobacteria	[53]
<i>Caulobacter crescentus</i>	NC002696	$\alpha$ -Proteobacteria	[50]
<i>Chlamydia muridarum</i>	NC002182	Chlamydiales	[55]
<i>C. trachomatis</i>	NC000117	Chlamydiales	[62]
<i>Chlamydophila pneumoniae</i> CWL029	NC000922	Chlamydiales	[31]
<i>C. pneumoniae</i> AR39	NC002179	Chlamydiales	[55]
<i>C. pneumoniae</i> J138	NC002491	Chlamydiales	[60]
<i>Deinococcus radiodurans</i>	NC001263	<i>Deinococcus/Thermus</i>	[68]
<i>Escherichia coli</i> K12	NC000913	$\gamma$ -Proteobacteria	[5]
<i>E. coli</i> O157:H7 EDL933	NC002655	$\gamma$ -Proteobacteria	[54]
<i>E. coli</i> O157:H7	NC002695	$\gamma$ -Proteobacteria	[43]
<i>Haemophilus influenzae</i>	NC000907	$\gamma$ -Proteobacteria	[13]
<i>Helicobacter pylori</i> 26695	NC000915	$\epsilon$ -Proteobacteria	[67]
<i>H. pylori</i> J99	NC000921	$\epsilon$ -Proteobacteria	[1]
<i>Lactococcus lactis</i>	NC002662	Low G + C Gram-positive	(unpublished)
<i>Mesorhizobium loti</i>	NC002678	$\alpha$ -Proteobacteria	[32]
<i>Mycoplasma genitalium</i>	NC000908	Low G + C Gram-positive	[15]
<i>Mycobacterium leprae</i>	NC002677	High G + C Gram-positive	[9]
<i>M. tuberculosis</i> H37Rv	N000962	High G + C Gram-positive	[8]
<i>M. tuberculosis</i> CDC1551	NC002755	High G + C Gram-positive	(unpublished)
<i>M. pneumoniae</i>	NC000912	Low G + C Gram-positive	[28]
<i>M. pulmonis</i>	NC002771	Low G + C Gram-positive	[7]
<i>Neisseria meningitidis</i> MC58	NC002183	$\beta$ -Proteobacteria	[66]
<i>N. meningitidis</i> Z2491	NC002263	$\beta$ -Proteobacteria	[52]
<i>Pasteurella multocida</i>	NC002663	$\gamma$ -Proteobacteria	[44]
<i>Pseudomonas aeruginosa</i>	NC002516	$\gamma$ -Proteobacteria	[64]
<i>Rickettsia prowazekii</i>	NC000963	$\alpha$ -Proteobacteria	[2]
<i>Staphylococcus aureus</i> N315	NC002795	Low G + C Gram-positive	[37]
<i>S. aureus</i> Mu50	NC002758	Low G + C Gram-positive	[37]
<i>Streptococcus pyogenes</i>	NC002737	Low G + C Gram-positive	[12]
<i>Synechocystis</i> sp. PCC6803	NC000911	Cyanobacteria	[33]
<i>Thermotoga maritima</i>	NC000853	Thermotogales	[49]
<i>Treponema pallidum</i>	NC000919	Spirochaetales	[16]
<i>Ureaplasma urealyticum</i>	NC002162	Low G + C Gram-positive	[17]
<i>Vibrio cholerae</i>	NC002506	$\gamma$ -Proteobacteria	[27]
<i>Xylella fastidiosa</i>	NC002488	$\gamma$ -Proteobacteria	[61]

branch orders. Based upon our analyses, these signatures have been introduced in these proteins at the indicated stages of the evolution of the bacterial groups. Hence, by using them, it should be possible to assign any given bacterial species into one of these groups and to determine its branching order, relative to the other groups.

To test in an objective manner the validity of the evolutionary model based on these signatures, we have analyzed the sequence data from various completed bacterial genomes using this approach. For these purposes, an alignment of the corresponding proteins from bacterial species whose complete genomes have been sequenced was carried out; and the presence or absence of the indicated signatures was determined. This information was then used for the phylogenetic placement of the species into different groups and to determine whether the distribution of these signatures in different species followed the pattern, as predicted by the model, or whether the results obtained were more readily explained by other mechanisms, such as either inde-

pendent occurrence of the indels in different species, or lateral gene transfer (LGT) between species.

According to the model, once an indel has been introduced in an ancestral lineage, various groups of species emerging after that point should all contain the indel, whereas all species from different groups that existed prior to the introduction of the indel should lack the indel. However, if such indels have been introduced either independently in various species or if the genes containing these indels have been frequently horizontally transferred from one species to another, then the presence or absence of these indels in different species will not follow the predicted pattern. In such a case, different groups of species or even individual species from different groups will either contain or lack the indels. Thus, by determining how closely the results of the indel data follow the predictions of the model and how many exceptions to this are observed, it should be possible to objectively determine whether the inferences based on these indels are reliable and to what extent they



**Fig. 1** Phylogenetic placement and relative branching order of bacterial species from completed genomes, based on the indel model developed in earlier work [19, 23]. The arrows above the line indicate the specific stages where the indicated signatures in various proteins have been introduced. The model predicts that all bacterial groups to the right of these arrows should contain the indicated signatures whereas all groups to the left should lack them. The sequences from various bacterial genome conform to the expected patterns, with no exceptions observed. The phylogenetic assignment of bacterial species whose genomes have been sequenced into different groups based on these signatures is indicated below the line

have been corrupted by other factors. The results for these signatures for the bacterial species whose genomes have been sequenced are discussed below.

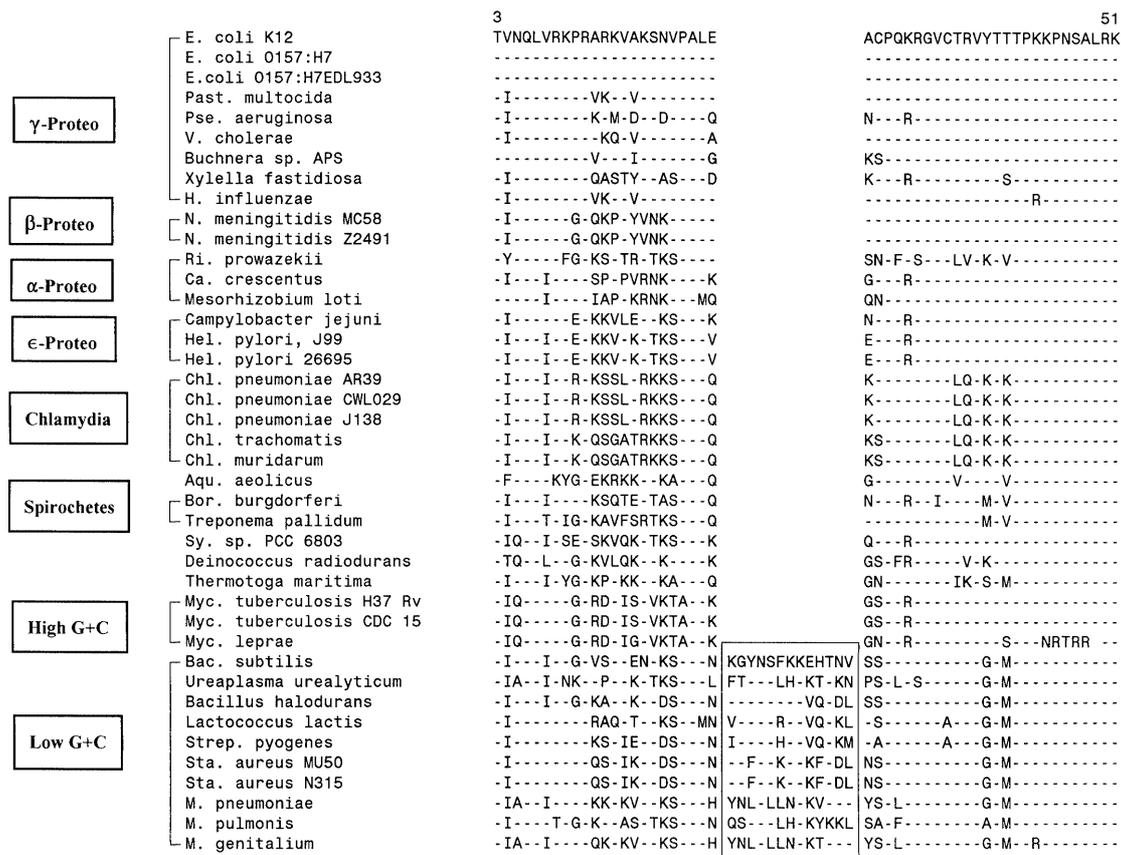
#### Ribosomal S12 protein

Ribosomal S12 protein is an essential protein found in all sequenced microbial genomes. A 13-amino-acid indel

in a highly conserved region of this protein has been shown to distinguish the low G+C Gram-positive bacteria from all other bacteria [19, 20]. Among the completed microbial genomes, this indel was present in all of the low G+C Gram-positive species, i.e. *Bacillus subtilis*, *B. halodurans*, *Lactococcus lactis*, *Mycoplasma genitalium*, *M. pneumoniae*, *Staphylococcus aureus* (N315, MU50 strains), *Streptococcus pyogenes*, and *Ureaplasma urealyticus*, but not in any other bacteria (Fig. 2, see Appendix). Thus, as indicated in Fig. 1, this signature is a distinctive characteristic of the low G+C Gram-positive group and, based upon it, the species belonging to this group can be clearly distinguished from all other bacteria.

#### Hsp70 protein

The Hsp70/DnaK family of proteins, which carry out an essential molecular chaperone function in protein-folding

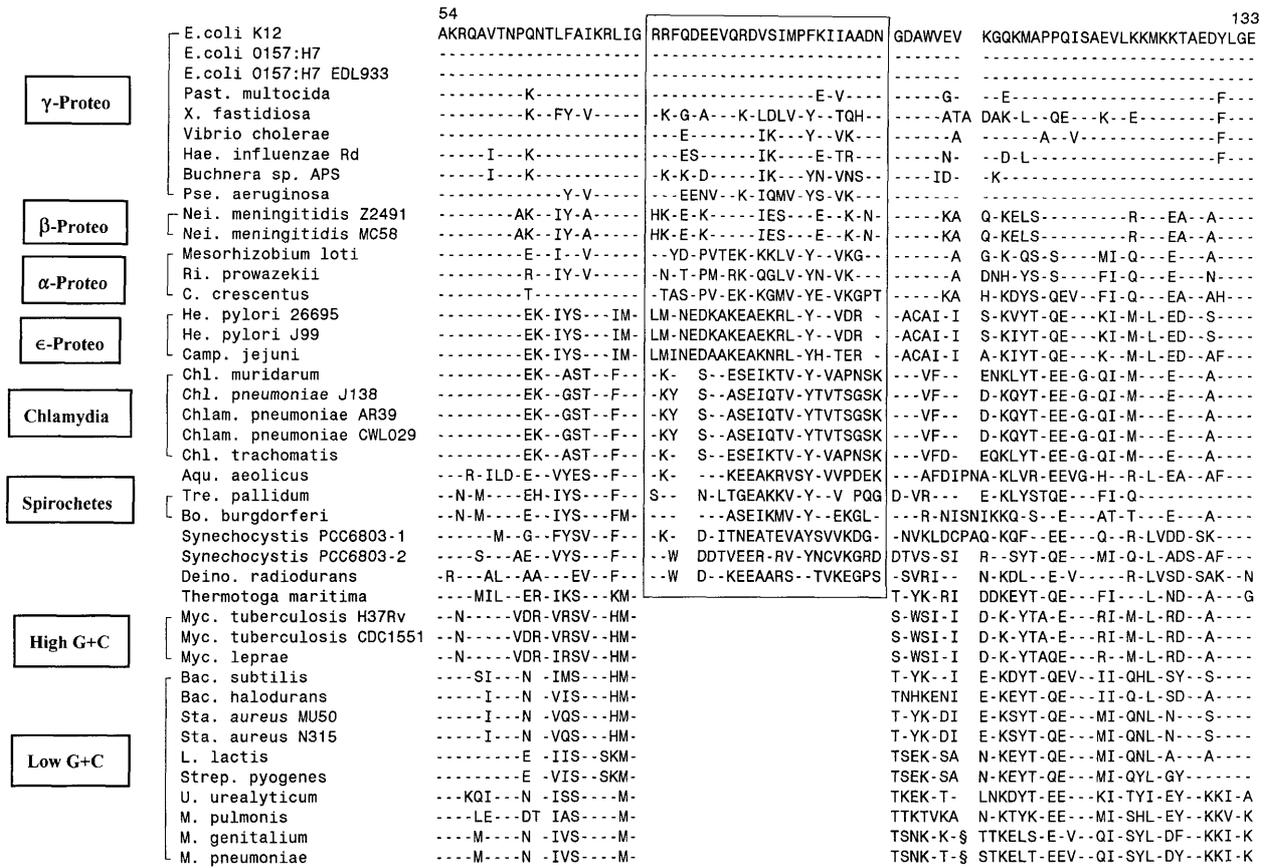


**Fig. 2** Alignment of ribosomal S12 protein sequences from completed bacterial genomes showing a 13-amino-acid insert (boxed) that is distinctive of the low G+C Gram-positive bacteria. Dashes in all sequence alignments show identity with the amino acid on the top line

and other cellular processes, are found in all completed bacterial genomes. A prominent signature, consisting of an indel of 21–23 amino acids, has been identified in the Hsp70 protein that distinguishes Gram-positive bacteria from Gram-negative bacteria [19, 20, 24]. The large indel in the Hsp70 protein is present in homologues from different Gram-negative bacteria but is absent from those of the Gram-positive bacteria (Fig. 3). The Gram-negative bacteria are defined in our work by the presence of both an inner and outer cell membrane, rather than on the basis of the Gram-staining reaction, which is a variable characteristic [19, 20]. Among the completed genomes, this indel, as expected, was found in all Gram-negative bacteria, but was not present in any of the Gram-positive bacteria, nor was it present in *Thermotoga maritima* or various mycoplasma species, supporting their grouping with the Gram-positive bacteria. In *Synechocystis* sp., multiple homologues for Hsp70 were found [33] and all of these contain the large insert (Fig. 3) [26]. Two different homologues for Hsp70 were also found in the genome of the spirochete species *Borrelia burgdorferi* [14]. One of these homologues, which contained the large insert

(GenBank no. 2688438), was closely related to the other spirochete species, *Treponema pallidum*. In contrast, a second Hsp70 homologue in *B. burgdorferi* (GenBank no. 2688201) lacked the large insert. BLAST searches on this homologue indicated that all of the top scores in this case consisted of various Gram-positive bacteria and archaeobacteria. Thus, it is likely that this homologue is derived from Gram-positive bacteria by means of LTG. The Hsp70 sequences are available in the databases for more than 150 bacterial homologues. Of these, this insert is not found in any Gram-positive bacteria and, with the single exception of *B. burgdorferi* noted here, it is a distinctive characteristic of all Gram-negative bacteria [19, 26].

Since the indel in Hsp70 divides the Bacteria into two structurally distinct groups, the question arises whether this indel is an insert in the Gram-negative or a deletion in the Gram-positive. Several lines of evidence support the former of these two possibilities. First, based on the accepted rooting of the prokaryotic tree using duplicated elongation factor EF-1/EF-2 sequences [29], the root of the prokaryotic tree has been shown to lay between archaeobacteria and Gram-positive bacteria [19]. The Hsp70 homologues from both these groups of prokaryotes lack this indel, which strongly suggests that this indel is an insert in the Gram-negative bacteria that evolved at a later stage. A second argument supporting this inference is based on the sequence similarity between Hsp70 and another



**Fig. 3** Alignment of Hsp70 homologues from completed bacterial genomes, showing the large insert (boxed) characteristic of Gram-negative bacteria

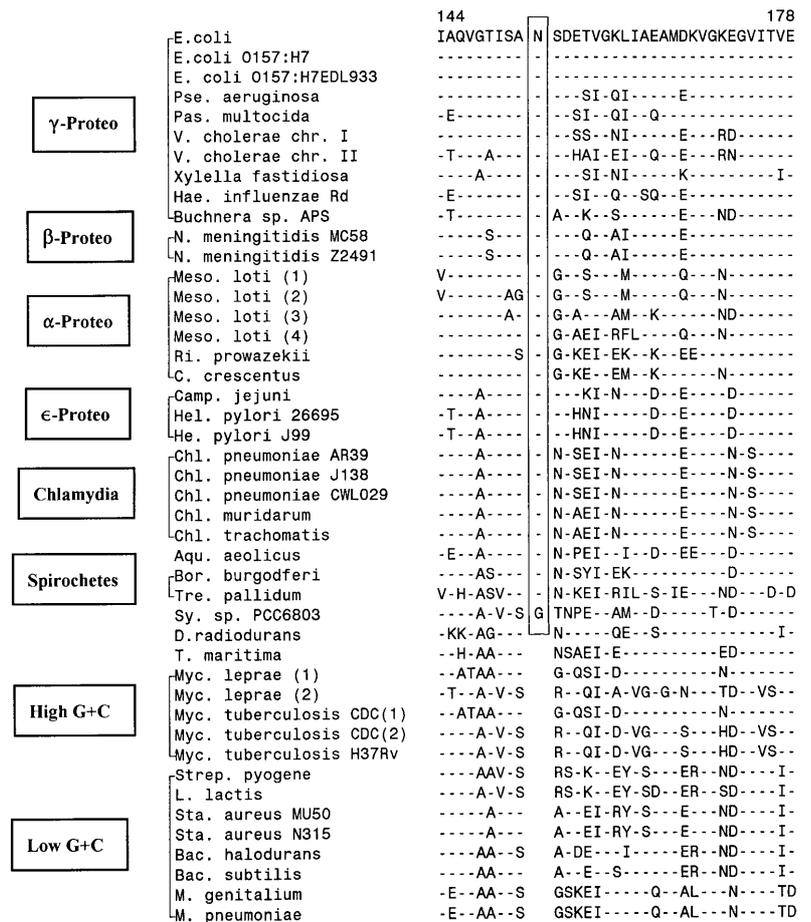
protein, MreB, which corresponds to the N-terminal half of Hsp70 [25]. Since the MreB protein, which is believed to have evolved independently from an ancestor of the Hsp70 family of proteins, does not contain this indel, the form of Hsp70 lacking the indel is indicated to be ancestral [24, 25]. Another argument in support of this view can be made on the basis of the cell structure of the prokaryotic organisms. In the formation of the ancestral prokaryotic cell, membrane enclosure must have been a key event [45]. The initial membrane enclosure probably consisted of a single unit membrane, as found in Gram-positive bacteria and archaeobacteria, rather than of two different membranes separated by an intervening compartment, as found in Gram-negative bacteria [19, 22]. All of these observations indicate that the Gram-positive group lacking the large indel in Hsp70 is ancestral, in comparison with Gram-negative bacteria. The rooting based on these observations provides a useful reference point for interpreting the signature sequences in various other proteins and for deducing the relative branching orders of different groups. Based on this rooting, it could now be inferred that the 13-amino-acid indel in the S12 protein (Fig. 2), which is present

in the low G + C Gram-positive bacteria (also archaeobacteria) [19], but absent from both high G + C Gram-positive bacteria and different Gram-negative bacteria, is a deletion in the common ancestor of the latter groups of species. This in turn indicates that, in comparison with the high G + C group, the low G + C group is ancestral [19].

*Hsp60/GroEL protein*

The Hsp60/GroEL family of proteins found in all sequenced bacterial genomes contain a 1-amino-acid insert in a highly conserved region which is indicated to have been introduced after the branching of various Gram-positive bacteria and the *Deinococcus-Thermus* groups (Fig. 1) [19]. Among the completed bacterial genomes, this insert was not found in any of the Gram-positive bacterial homologues or in *D. radiodurans*, but it was present in all other bacteria (Fig. 4). Several Gram-positive bacteria contain multiple Hsp60 homologues and this insert was not present in any of them. Similarly, *Mesorhizobium loti* and other members of the Rhizobiaceae family contain multiple Hsp60 homologues and this insert is present in all of them. The indicated position of this signature is highly reliable as, of more than 300 bacterial Hsp60 sequences that are available in databases, no exceptions are observed [23].

**Fig. 4** Alignment of Hsp60 homologues from bacterial genomes, showing a 1-amino-acid insert (*boxed*) that was introduced after the branching of Gram-positive bacteria and the *Deinococcus–Thermus* groups



### FtsZ protein

The homologues of the FtsZ protein, which is involved in bacterial cell division, are found in all completed bacterial genomes, except those of the mycoplasma and *Chlamydiae* spp, which are intracellular pathogens [15, 17, 28, 55, 62]. A 1-amino-acid insert in a highly conserved region of this protein is indicated to have been introduced after the branching of Gram-positive bacteria, the *Deinococcus–Thermus* group, and Cyanobacteria (Fig. 1). As expected, this insert was not found in any Gram-positive bacteria, *D. radiodurans* or *Synechocystis* sp., but it was present in all other bacterial species, including *Aquifex*, Spirochetes, and different groups of proteobacteria (Fig. 5).

### Alanyl-tRNA synthetase

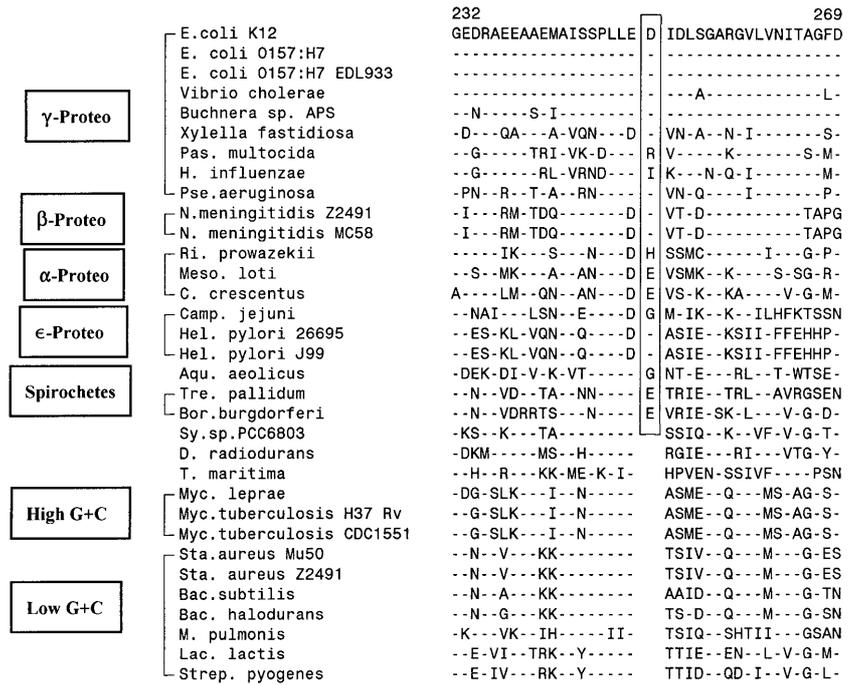
Alanyl-tRNA synthetase contains a 4-amino-acid insert which is commonly shared by all proteobacteria and by the *Aquifex*, *Chlamydia*, and the *Cytophaga–Flavobacteria*–green sulfur bacteria groups, but is absent from all other Bacteria and Archaea (Fig. 6) [26]. This insert is indicated to have been introduced in a common ancestor of the above groups after the

branching of Gram-positive bacteria, *Deinococcus–Thermus*, Cyanobacteria, and Spirochetes (Fig. 1). Alanyl-tRNA synthetase is found in all sequenced bacterial genomes and the presence or absence of this signature in various species followed the expected pattern, with no exceptions observed (Fig. 6).

### Signature sequences for proteobacteria in Hsp70 and CTP synthase

The Hsp70 protein discussed above contains a 2-amino-acid insert, within the large insert found in the Gram-negative bacteria, which is commonly shared by all proteobacteria but not found in any other bacteria [19]. In the completed bacterial genomes, this insert was present in the Hsp70 homologues from all 17 proteobacterial species, but none of the other bacteria (Fig. 7). The sequences from Gram-positive bacteria lacking this region are not shown in this figure. The enzyme CTP synthase, found in all sequenced bacterial genomes except for the mycoplasma species, contains a 10-amino-acid insert which is specific for proteobacteria (Fig. 8). This insert was found in all sequenced proteobacterial genomes but not in any other species. A smaller 4-amino-acid insert in CTP synthase that is specific for the mycobacterial species

**Fig. 5** Alignment of FtsZ homologues, showing a 1-amino-acid insert (*boxed*) that was introduced after the branching of Gram-positive bacteria, the *Deinococcus–Thermus* group and the cyanobacteria



is found in the same position as the proteobacterial insert (Fig. 8). However, this insert, because of its size and specificity, is of independent origin and it does not confuse or affect the specificity of the proteobacterial signature.

#### Signature sequences indicating the branch order of the proteobacterial groups

Signature sequences in a number of proteins have been shown to make clear distinctions among different groups of proteobacteria [23]. A 1-amino-acid conserved insert in the Lon protease is commonly shared by all  $\alpha$ -,  $\beta$ -, and  $\gamma$ -proteobacterial species but not present in any other species. Lon protease homologues are present in all bacterial genomes, except a few Gram-positive bacteria. The insert in Lon protease, as expected, was found in all  $\alpha$ -,  $\beta$ -, and  $\gamma$ -proteobacterial species but not in any other species (Fig. 9). Another signature introduced at a similar stage is found in the SecA protein. The SecA homologues are found in all sequenced bacterial genomes and the 7-amino-acid insert is seen in all of the  $\alpha$ -,  $\beta$ -, and  $\gamma$ -proteobacteria but not in any other bacteria (Fig. 10). A smaller insert in this position is also seen in the two spirochete species but, based on its size and species specificity, this insert was probably introduced independently. The genomes from chlamydial species contain another SecA related protein (not shown), which contains a very large insert in this region, quite different from the insert found in  $\alpha$ -,  $\beta$ -, and  $\gamma$ -proteobacteria.

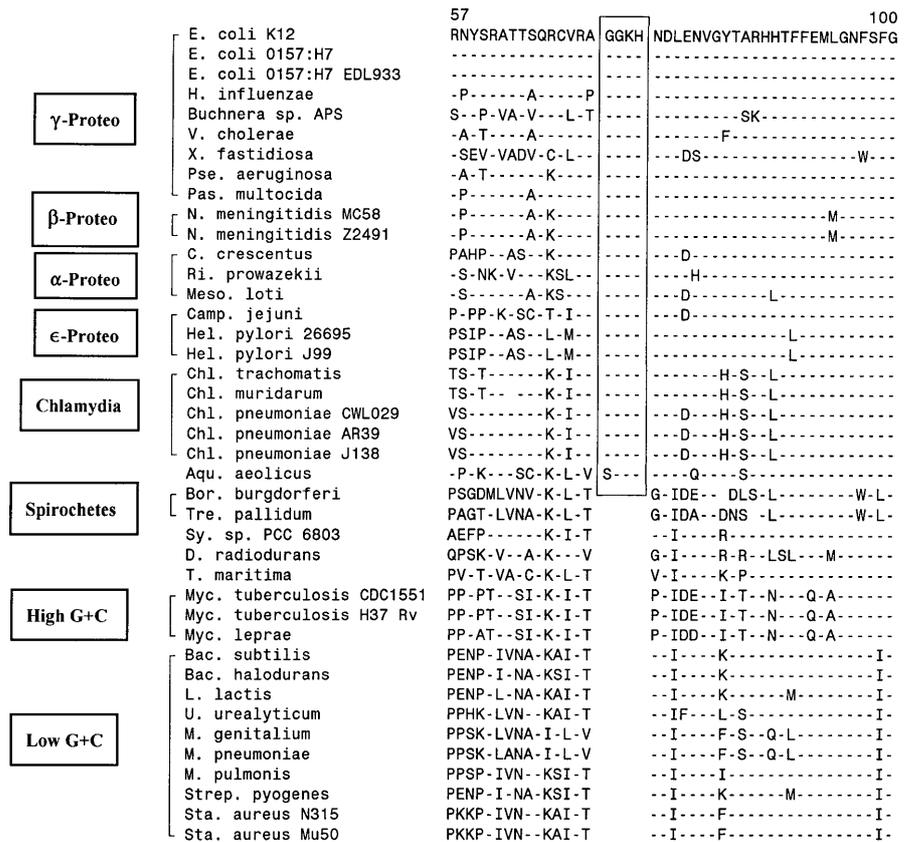
The Hsp70 family of proteins contains another useful signature that is distinctive of the  $\beta$ - and  $\gamma$ -proteobacteria. This signature, consisting of a 4-amino-acid insert in a highly conserved region, is found in all of the  $\beta$ - and  $\gamma$ -proteobacterial species from sequenced genomes but

not in any other species (Fig. 11). The  $\beta$ - and  $\gamma$ -proteobacterial species, in addition to the orthologous Hsp70 protein, also contain a protein, Hsc66, which is distantly related to Hsp70 and carries out unrelated functions [34, 57]. The Hsc66 homologues, do not contain the  $\beta$ - or  $\gamma$ -insert, but they are readily distinguished from the Hsp70 homologues because of extensive sequence divergence in different regions, particularly towards the C-terminal end. Another signature, a 1-amino-acid insert, distinctive of the  $\beta$ - and  $\gamma$ -proteobacteria, has been identified in the protein, phosphoribosyl pyrophosphate synthetase. Among the sequenced bacterial genomes, this signature is found in all  $\beta$ - and  $\gamma$ -proteobacteria but in none of the other species (Fig. 12). The  $\gamma$ -proteobacterial group differs from other proteobacteria by a 2-amino-acid deletion in the enzyme, 5'-phosphoribosyl-5-aminoimidazol-4-carboxamide transformylase. This deletion was found in all of the  $\gamma$ -proteobacterial genomes (Fig. 13), but in none of the other species where the homologues of this protein are found. In *T. maritima*, a large deletion of 12–13 amino acids is present in this position which probably originated independently.

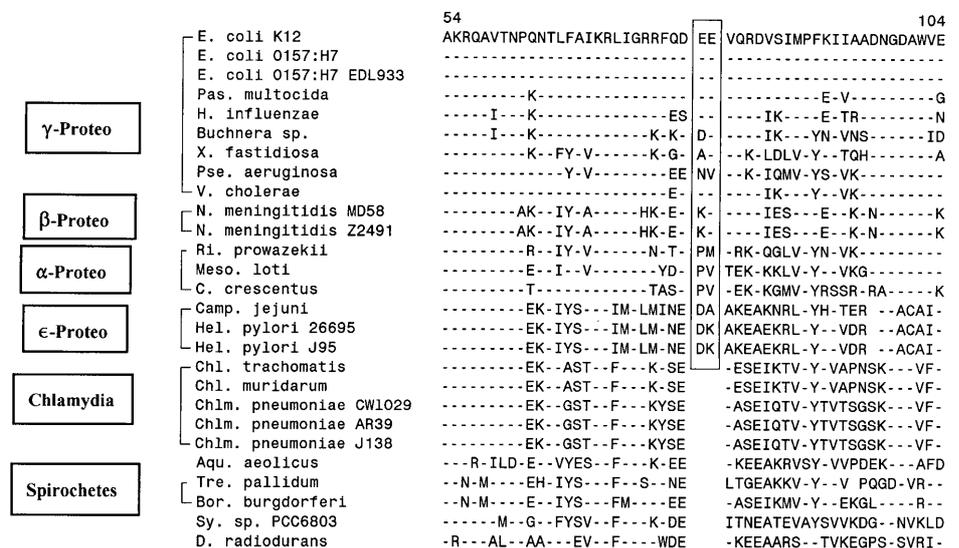
The distribution of indels in genomic sequences strongly supports the indel model

The question could now be asked whether the observed results from genomic sequences support the evolutionary model based on indels, or whether these results can be explained by any other reasonable mechanism. In the evolutionary model based on indels, there are two potential problems that could give misleading results. First, it is possible that a given indel, rather than being derived from a common ancestor, was introduced on

**Fig. 6** Alignment of Ala-tRNA synthetase sequences, showing a 4-amino-acid insert (*boxed*) that is common to only the *Chlamydiae-Aquifex* group and proteobacterial species and is not found in any other groups of bacteria



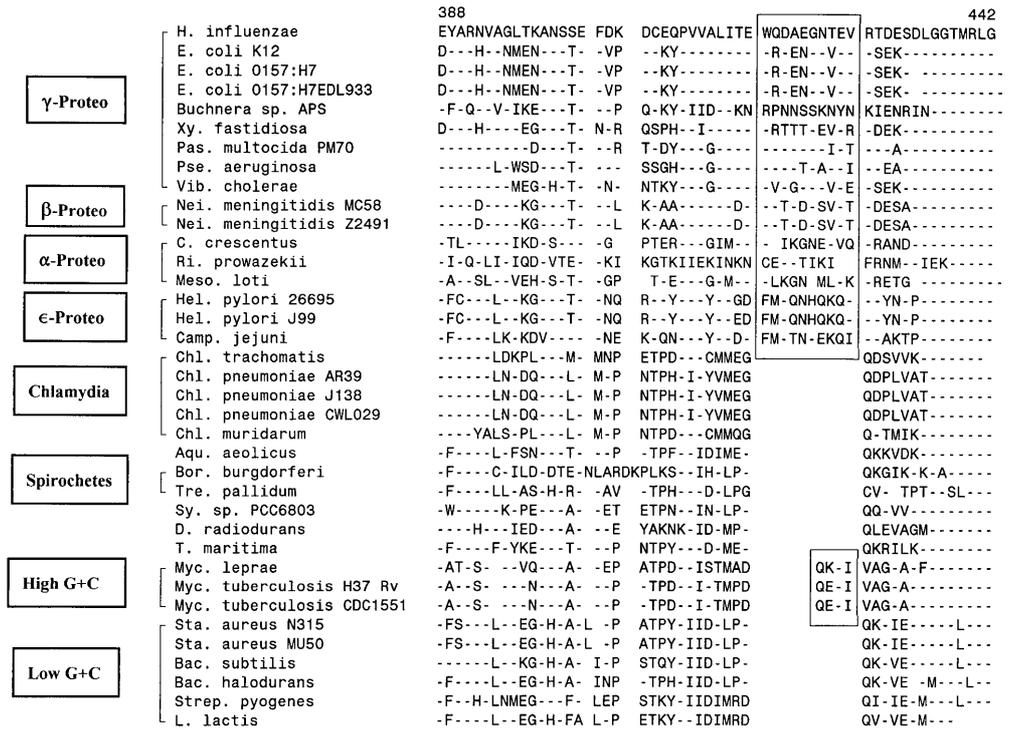
**Fig. 7** Alignment of Hsp70 homologues from bacterial genomes, showing a 2-amino-acid insert (*boxed*) that is commonly found in all proteobacterial species. The Hsp70 homologues from Gram-positive bacteria lack this region and hence are not shown



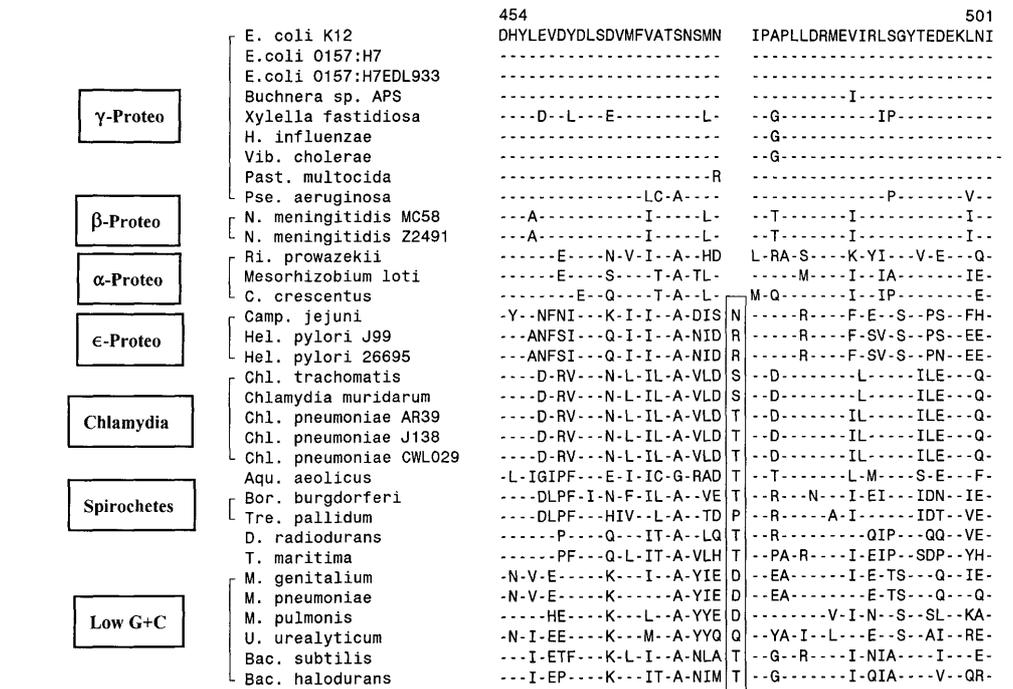
multiple occasions in different species/groups due to similar functional constraints operating on the protein. Second, the shared presence of an indel in different species could also occur if the indel was originally introduced in one species (or group of species) and then transferred to others by LGT. The analyses of genomic sequences in the past few years have led to the view that LGT among prokaryotic species is quite common and that it poses a major problem in deducing evolutionary relationships among prokaryotes [3, 11, 30, 39, 71].

The basic premise on which the indel model is based is that, once an indel has been introduced in an ancestral lineage, various species emerging from that ancestor henceforth should all contain the indel, whereas all species from different groups that either existed prior to the introduction of the indel or which did not evolve from this ancestor should lack the indel. In contrast, if these indels have been introduced into various groups independently or if the genes containing these indels have undergone frequent LGT from one species to

**Fig. 8** Sequence alignment of CTP synthetase from bacterial genomes, showing a 10-amino acid insert (boxed) common to all proteobacterial groups



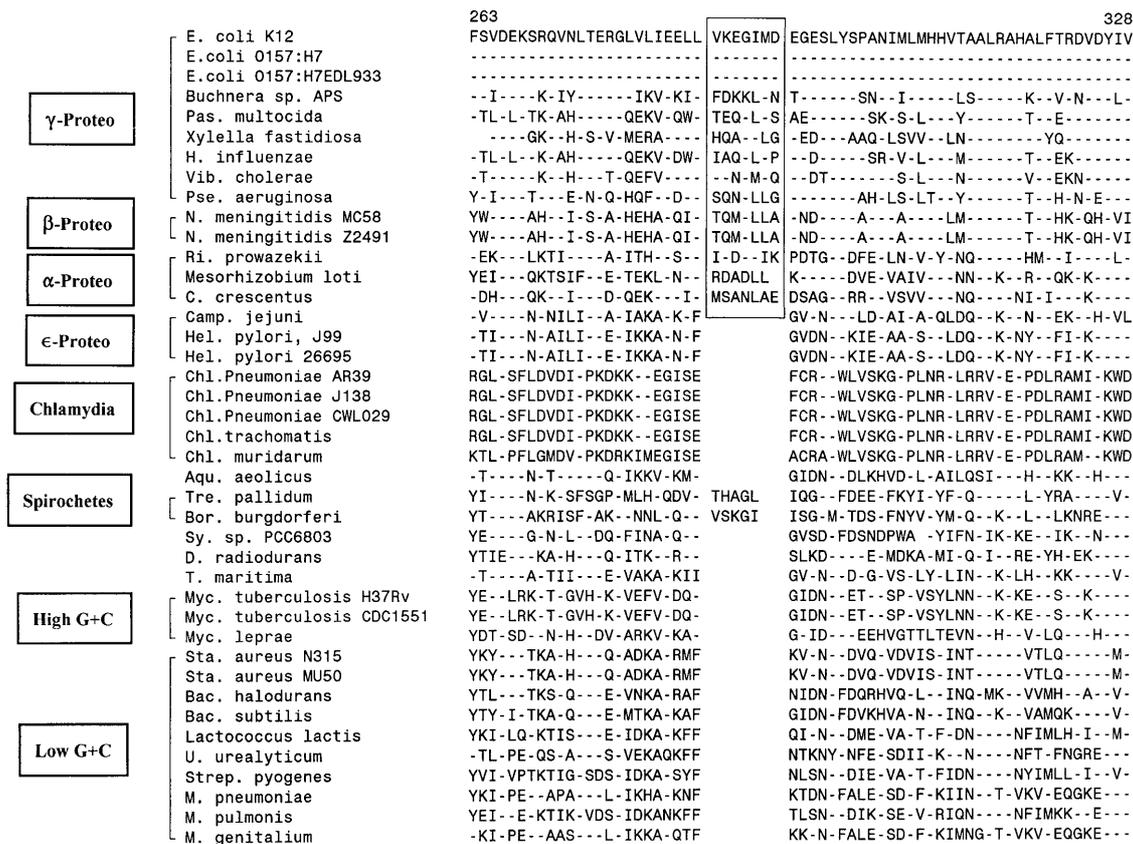
**Fig. 9** Alignment of Lon protease sequences from bacterial genomes, showing a 1-amino acid insert (boxed) that is commonly shared by all  $\alpha$ -,  $\beta$ -, and  $\gamma$ -proteobacteria



another, then the presence or absence of these indels in different species will not follow any predicted pattern. In such a case, different groups of species, or even individual species from different groups, will either contain or lack the indels.

A summary of the results for the various indels studied in this work is presented in Table 2. For each of the proteins containing these indels, the number of species where the protein was found is indicated,

together with the number of species in which the indel was expected to be present or absent according to the model. The last column indicates the number of exceptions observed where the presence or absence of an indel was not in accordance with the indel model. As seen from Table 2, the proteins containing these indels are widely represented in different bacteria and many of them were found in all sequenced bacterial genomes. A few of these proteins are absent from species such as



**Fig. 10** Alignment of SecA homologues from bacterial genomes, showing a 7-amino-acid insert (boxed) that is common to all  $\alpha$ -,  $\beta$ -, and  $\gamma$ -proteobacteria

mycoplasmas or chlamydiae, which are intracellular pathogens, where the corresponding genes may have been lost because the cellular functions of these proteins are likely provided for by the host [15, 62]. For all of these proteins, the distribution of indels in various proteins was found to be exactly as predicted by the model, with no exceptions observed. Of a total of 450 indels whose distribution in different species was examined in the present work, all of them showed the expected distribution, as predicted by the model. The only possible exception was the presence of a second Hsp70 homologue in *B. burgdorferi*, which lacked the large insert in the protein, distinctive of Gram-negative bacteria. The BLAST searches indicate that this gene is likely acquired from Gram-positive bacteria by means of LGT. However, *B. burgdorferi* contains another Hsp70 homologue with the expected characteristics. Hence, the presence of this laterally transferred gene, which is readily identified as such and which is absent from other spirochete species, does not in any way confuse or affect the inference concerning the phylogenetic placement of this species. In a few cases, some species were found to contain a different kind of indel (differing in length, amino acid composition, species specificity) in a similar position as the indicated signature. Such indels, which are probably of independent origin, again do not confuse or affect the inference from specific indels. For all of

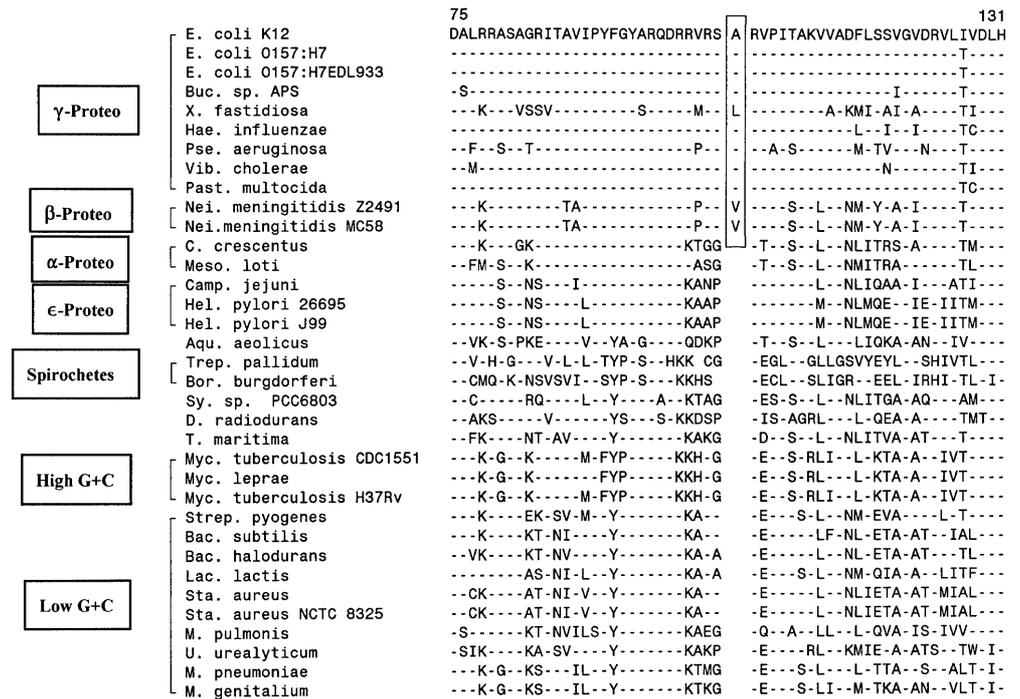
the studied proteins, in addition to the data from completed bacterial genomes, sequence information is available from a large number of other species and, in almost all cases, the distribution of these indels in various species follows the pattern as predicted by the indel model [19, 23]. These results provide strong evidence that the inferences derived from indel data are reliable [48] and they are not affected to any significant extent by other factors, such as LGT [63] or independent occurrence of these indels in different species.

The evolutionary relationship that emerges based on indels, in addition to its high degree of internal consistency in the placement of species into different groups and in determining their relative branching order, is also quite appealing from other perspectives:

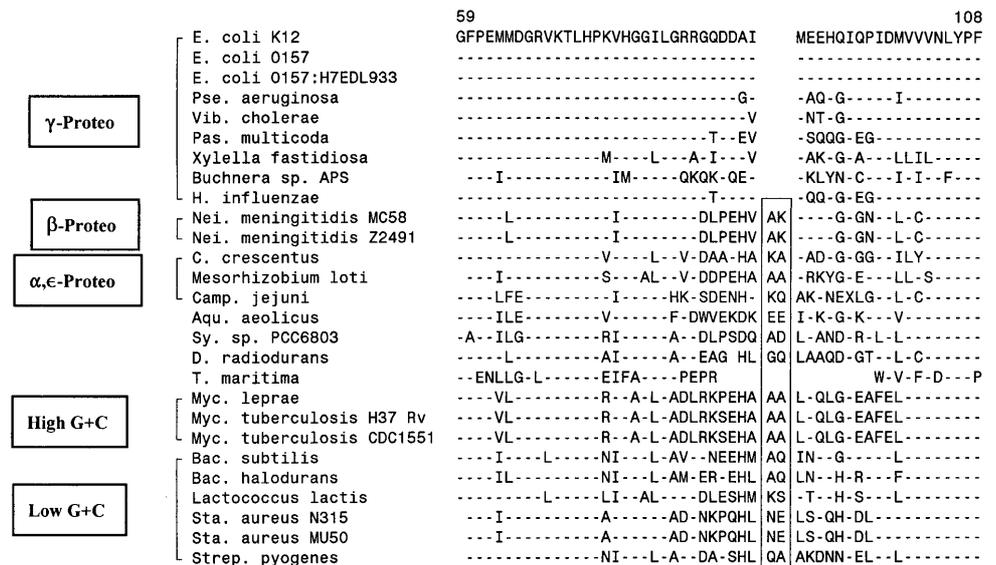
1. The model is consistent with and accounts for the major ultrastructural differences seen among the Bacteria. The model indicates that the bacterial groups surrounded by a single membrane (i.e., Gram-positive or monoderm bacteria) are phylogenetically distinct from those surrounded by both an inner and outer membrane and containing a periplasmic compartment (i.e., all true Gram-negative bacteria or diderm bacteria) [19, 47]. Of these two structurally and phylogenetically distinct groups of bacteria, the monoderm bacteria are indicated to be ancestral.
2. The model places *Deinococcus-Thermus* in an intermediate position between monoderm and diderm bacteria. This placement is consistent with the observation that *Deinococcus* contains a thick



**Fig. 12** Alignment of phosphoribosylpyrophosphate synthetase, showing a 1-amino-acid insert (boxed) distinctive of  $\beta$ - and  $\gamma$ -proteobacteria



**Fig. 13** Sequence alignment of 5'-phosphoribosyl aminoimidazole-4-carboxamide transformylase, showing a 2-amino-acid deletion that is distinctive of the  $\gamma$ -proteobacteria



branching order of the main groups. These are in fact strong points for the signature sequence approach. The main reason for the success of the signature sequence approach in these regards is that the derived inferences in this case are based on minimal assumptions [19, 56, 58, 63]. The sole assumption involved in these analyses is that when a shared conserved indel is present in different groups of species, it is assumed to have been introduced only once in a common ancestor of these groups, rather than on multiple occasions in different species. This is the most parsimonious way to explain these results. In contrast, the branching patterns of

species in phylogenetic trees are dependent upon and affected by a large number of variables and assumptions (e.g., sequence regions that are retained or excluded, the number and range of species examined, differences in the evolutionary rates between species, base compositional differences between species, phylogenetic methods employed, order in which different species are added to the alignment, etc.) and hence are not clearly resolved [19, 38, 70].

Based on the various indels described here, it is now possible to define in clear molecular terms most of the major groups within the Bacteria that were previously

**Table 2** The distribution of various indels in different proteins from bacterial genomes

Protein	Signature description	No. of genomes with protein	Genomes lacking the protein	No. of genomes with insert (expected/found)	No. of genomes lacking the insert (expected/found)	Exceptions observed
Hsp70/DnaK	21–23-a.a. G+/G– insert	41	None	27/27	14/14	0
Ribosomal S12 protein	13-a.a. low G+C signature	41	None	37/73	31/31	0
Hsp60/GroEL	1-a.a. insert after <i>Deinococcus</i>	39	mp, uu	26/26	37/68	0
FtsZ protein	1-a.a. insert after cyanobacteria	33	ct, cp, cm, mn, mg, uu	20/20	37/68	0
Ata-tRNA synthetase	4-a.a. common to <i>Chlamydia</i> /proteobacteria	41	None	23/23	18/18	0
Hsp70/DnaK	2-a.a. proteobacterial insert	41	None	17/17	24/24	0
CTP Synthetase	10-a.a. proteobacterial insert	37	mp, mg, uu, mn	17/17	20/20	0
Lon protease	1-a.a. $\alpha\beta\gamma$ -proteobacterial deletion	33	ll, mt, ml, sa, sp	19/19	14/14	0
SecA protein	7-a.a. $\alpha\beta\gamma$ -proteobacterial insert	41	None	14/14	27/27	0
HSP70/DnaK	4-a.a. $\beta\gamma$ -proteobacterial insert	41	None	37/05	30/30	0
PRPP synthetase	1-a.a. $\beta\gamma$ -proteobacterial insert	35	cp, ct, cm, rp	37/05	24/24	0
PAC-transfor mylase	2-a.a. $\gamma$ -proteobacterial deletion	27	bb, cp, cm, ct, hp, mp, mg, tp, uu, rp	18/18	37/42	0

The abbreviations used are: a.a., amino acid; bb, *Borrelia burgdorferi*; cm, *Chlamydia muridarum*; cp, *Chlamydia pneumoniae*; ct, *Chlamydia trachomatis*; G+, Gram-positive; G–, Gram-negative; hp, *Helicobacter pylori*; ll, *Lactococcus lactis*; mg, *Mycoplasma*

*genitalium*; mn, *M. pneumoniae*; mp, *M. pulmonis*; ml, *Mycobacterium leprae*; mt, *Myc. tuberculosis*; rp, *R. prowazekii*; sa, *Staphylococcus aureus*; sp, *S. pyogenes*; tp, *Treponema pallidum*; uu, *Ureaplasma urealyticum*

identified solely on the basis of their branching pattern in the 16 S rRNA trees. For example, the low G+C Gram-positive group can be defined by the presence of the large insert in the S12 protein. The high G+C Gram-positive group can be defined by the lack of the large inserts in both the Hsp70 protein and the S12 protein. A flow chart detailing how these indels could be used to taxonomically define the different main groups within the Bacteria and for assigning any given species to one of these groups has been described in earlier work [23]. The branch orders of different groups as deduced, based on these signatures, is internally highly consistent and it is difficult to explain these results by any other reasonable mechanism [63]. It should be recognized, however, that the number of main groups within the Bacteria that can presently be identified by signature sequence represents the minimal number. As additional signature sequences are identified in future work, the relative branching orders of species within some of the presently defined groups should become clearer; and this may lead to further divisions of these groups. We expect this to be the case for the low and high G+C Gram-positive bacteria and for the *Aquifex*, *Chlamydiae*, and *Cytophaga* groups, which have not been studied in detail for the presence of signature sequences. It is expected, however, that any newly identified group should be placed in an adjoining position to the presently assigned position and it should not affect the overall branching order of the other groups.

## Conclusions

Results presented here show that the conserved indels that have been identified in various proteins provide a powerful new approach for understanding bacterial phylogeny. Based on these signatures, most of the main groups within the Bacteria can be identified in clear molecular terms and any given bacterial species could be assigned to one of these groups in an unambiguous manner. The phylogenetic assignment of different bacteria whose genomes have been sequenced using this approach showed an excellent correlation to that based on the 16 S rRNA, with 39 of the 41 species similarly assigned. Thus, the inferences deduced based on this new approach are not contradictory to the 16 S rRNA trees, but complement it in important respects. One distinct advantage of this new approach is that it permits a logical deduction of the relative branching order of different groups of bacteria from a common ancestor (Fig. 1), which could not be resolved from phylogenetic trees based on the 16 S rRNA or various proteins and constituted a major unresolved problem in bacterial phylogeny. The deduced branching order of different groups shows a very high degree of internal consistency and it is strongly supported by the analyses of completed bacterial genomes. As sequence information from other bacterial genomes becomes available, it should be possible to further determine: (1) whether the results

obtained are in accordance with this model and (2) the ability of this model to help explain and integrate different observations.

## Appendix

The following figures illustrate the alignment of various protein sequences from completed bacterial genomes, as discussed in the text.

The first five groups of proteins in this Appendix cover the ribosomal S12 protein (2), Hsp70 protein (3), Hsp60/GroEL protein (4), FtsZ protein (5), and alanyl-tRNA synthetase (6).

The remaining seven groups cover signature sequences for proteobacteria in Hsp70 and CTP synthase (7, 8), signature sequences indicating the branch order of the proteobacterial groups (9, 10), and useful signatures for the  $\beta$ - and  $\gamma$ -proteobacteria in the Hsp70 family of proteins (11, 12, 13).

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